



INSIDER TRADING



INCREASED REGULATORY PRESSURE

With increased pressure from the SEC to prosecute illegal insider trading, firms are looking for methods to monitor for insider trading. Unfortunately, monitoring for illegal insider trading can be a monumental task.

- Where do you get market events?
- How do you map events to trades?
- How do you filter through all the noise?
- How do you show evidence of review?

AUTOMATED MONITORING

Quickly create a list of market moving events with Compliance11's hosted service. Market events are gathered from multiple sources and enhanced with market data so you can filter out the noise. Export this list and do your own analysis or upload your employee, customer, and/or firm trades and let the system automatically generate exceptions for suspicious trades based on rules that you configure.

TYPES OF MARKET EVENTS

News - News for the U.S. financial markets from authoritative sources, including: *AP | Atlanta Journal Constitution | Barrons.com | bizjournals.com | Briefing.com | Business Wire | BusinessWeek | CCBN | CCMatthews | CNBC | CNNMoney.com | CNW Group | Daily FX | Dallas Morning News | EDGAR Online | EDGAR Online Financials | ETFguide.com | Forbes.com | Fortune | Fox Business | FOX Business Now | FT.com | GlobeNewswire | IndexUniverse.com | Indie Research | Investopedia | Investor's Business Daily | MarketWatch | Marketwire | Minyanville.com | Money | Morningstar.com | Motley Fool | NYTimes.com | paidContent.org | Pittsburgh Tribune-Review | PR Newswire | RealMoney by TheStreet.com | Reuters | San Francisco Chronicle | San Jose Mercury News | Seeking Alpha | Shareholder.com | Silicon Alley Insider | SmallCapInvestor.com | SmartMoney.com | StarMine | TechTicker | The New York Times | The Wall Street Journal Online | TheStreet.com | TheStreet.com TV | TradingMarkets.com | Wall Street Transcript | Winston-Salem Journal | Zacks.com*

Press Releases - Company press releases, including corporate and industry news, earnings announcements and projections, dividends, mergers and acquisitions, joint ventures, research analyst coverage, and more from: *BusinessWire | MarketWire | PRNewsWire*

SEC's Edgar Database - Company financial information filed with the SEC Form 8-K, which is used to report significant changes such as a merger and acquisition, bankruptcy, change of auditors or any other important information.

Date	Symbol	Event Type	Description	Price
2012-01-26	AMZN	AMERICAN BANK NOTE GROUP	AMZN Has a 1% Ownership Stake in the Company after merger with	145.00
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Date	Symbol	Insider Name	Amount
2012-01-26	AMZN	AMZN	AMZN
2012-01-26	AMZN	AMZN	AMZN
2012-01-26	AMZN	AMZN	AMZN
2012-01-26	AMZN	AMZN	AMZN
2012-01-26	AMZN	AMZN	AMZN